



Audit Evaluation & Results

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ISO/IEC 17021

- All ANAB Accredited Certification Bodies
 - Deadline - September 2008
 - Some 'dropped out'
- Replaces the 'Old' ISO Guides 62 & 66
- 2 x Stage Audit Process
- Leveraged from experience in Automotive Industry (ISO/TS 16949) – IATF/IAOB
- ***Not exactly 'New'!***





Stage 1 Audit

- On site (generally)
- Auditor Preparation & Planning
 - Confirm logistics
 - Audit Scheduling (Process based)
 - Documentation Review
 - State of 'Readiness'
 - Confirm Scope, Head count, Facilities etc.
 - First Intro between Auditor & Client





Stage 1 Audit

- Output
 - Audit schedule “the Stage 2” plan
 - Verified ‘Scope’ statement
 - Verified resources
 - Verified QMS documentation (Manual, Procedures)
 - State of Management ‘Readiness’
 - Findings of Evaluation





Stage 2 Audit

- 'Traditional Audit'
- This time **Process** Based, not '*Element*'
 - Of Defined QMS
 - Implementation
 - Effectiveness

Again, nothing new, really!





Typical Stage 1 Findings

- Scope – not accurate
- Definition of ‘Sequence and Interaction of Processes of QMS’
- Justification of Exclusions





Typical Stage 2 Findings

- Effectiveness of implementation
 - Not meeting objective
- Not implemented as 'defined'

